

# PAPERWORK REDUCTION ACT SUBMISSION

Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the Supporting Statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW Washington, DC 20503.

1. Agency/Subagency originating request  
Environmental Protection Agency/Office of Air Quality Planning and Standards

2. OMB control number b. **G** None  
a. 20 6 0 - 0 4 2 1

3. Type of information collection (check one)
- a. **G** New collection
  - b. **X** Revision of a currently approved collection
  - c. **G** Extension of a currently approved collection
  - d. **G** Reinstatement, without change, of a previously approved collection for which approval has expired
  - e. **G** Reinstatement, with change, of a previously approved collection for which approval has expired
  - f. **G** Existing collection in use without an OMB control number

4. Type of review requested (check one)
- a. **X** Regular
  - b. **G** Emergency - Approval requested by: \_\_\_\_/\_\_\_\_/\_\_\_\_
  - c. **G** Delegated

5. Small entities  
Will this information collection have a significant economic impact on a substantial number of small entities? **G** Yes **X** No

6. Requested expiration date  
a. Three years from approval date b. **XG** Other Specify:

7. Title Regional Haze Rule - Proposed Revisions to Incorporate Sulfur Dioxide Milestones and Backstop Emissions Trading Program for Nine Western States and Eligible Indian Tribes Within that Geographic Area

8. Agency form number(s) (if applicable)  
1813.03

9. Keywords  
Clean Air Act, Environmental Protection, Air Pollution Control

10. Abstract This regulation is for an action anticipated by the regional haze rule that EPA published in July 1999. One portion of the regional haze rule was an optional visibility protection program for 9 Western States. Part of this program for the West, a long-term program to reduce stationary source emissions of sulfur dioxide, was incomplete at the time of the 1999 rule. Accordingly, the regional haze rule required Western States to submit an "Annex" to an earlier report of the Grand Canyon Visibility Transport Commission. We required the Annex to contain sulfur dioxide milestones for the years 2003 to 2018, to establish a program to track emissions from stationary sources over this time period, and to provide the details of a market trading program that would be triggered if a milestone is exceeded. The Western Regional Air Partnership submitted the Annex on September 29, 2000. EPA is proposing to amend the regional haze rule to incorporate the provisions of the Annex. The program in the Annex affects stationary sources emitting more than 100 tons per year of sulfur dioxide.

11. Affected public (Mark primary with "P" and all others that apply with "X")
- a. ☐ Individuals or households
  - b. **P** Business or other for-profit
  - c. **X** Not-for-profit institutions
  - d. ☐ Farms
  - e. **X** Federal Government
  - f. **X** State, Local, or Tribal Governments

12. Obligation to respond (Mark primary with "P" and all others that apply with "X")
- a. **G** Voluntary
  - b. **X** Required to obtain or retain benefits
  - c. **G** Mandatory

13. Annual reporting and recordkeeping hour burden
- a. Number of respondents 205
  - b. Total annual responses 137
  - 1. Percentage of these responses collected electronically 0 %
  - c. Total annual hours requested 11,551
  - d. Current OMB inventory 11,550
  - f. Explanation of difference
  - e. Difference 1
  - 1. Program change 1
  - 2. Adjustment 0

14. Annual reporting and recordkeeping cost burden (in thousands of dollars)
- a. Total annualized capital/startup costs \$ 0
  - b. Total annual costs (O&M) \$ 0
  - c. Total annualized cost requested \$ 0
  - d. Current OMB inventory \$0
  - e. Difference \$0
  - f. Explanation of difference
  - 1. Program change \$0
  - 2. Adjustment \$0

15. Purpose of information collection (Mark primary with "P" and all others that apply with "X")
- a. ☐ Application for benefits
  - b. **X** Program evaluation
  - c. ☐ General purpose statistics
  - d. ☐ Audit
  - e. ☐ Program planning or management
  - f. ☐ Research
  - g. **P** Regulatory or compliance

16. Frequency of recordkeeping or reporting (check all that apply)
- a. **X** Recordkeeping
  - b. **G** Third party disclosure
  - c. **X** Reporting
  - 1. **G** On occasion
  - 2. **G** Weekly
  - 3. **G** Monthly
  - 4. **G** Quarterly
  - 5. **G** Semi-annually
  - 6. **X** Annually
  - 7. **G** Biennially
  - 8. **G** Other (describe) \_\_\_\_\_

17. Statistical methods  
Does this information collection employ statistical methods?  
  

G Yes **X** No

18. Agency contact (person who can best answer question regarding the content of this submission)  
  
Name: Tim Smith  
Phone: 919 541 4718

## 19. Certification for paperwork Reduction Act Submissions

On behalf of this Federal agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9.

**NOTE:** The text of 5 CFR 1320.9, and related provisions of 5 CFR 1320.8 (b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It uses plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention periods for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8 (b)(3):
  - (I) Why the information is being collected;
  - (ii) Use of information;
  - (iii) Burden estimate;
  - (iv) Nature of response (voluntary, required for a benefit, or mandatory);
  - (v) Nature and extent of confidentiality; and
  - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of the instructions);
- (I) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of these provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Program Office Official  
Henry Thomas

Date

Signature of Senior Official or designee

Date

Division

Director, Collection Strategies